



PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No. : 10/614,962
Applicant : Vernon D. Karman
Filed : July 8, 2003
Title : Surface Pasteurization
Method
TC/A.U. : 3721
Examiner :
Docket No. : 4767-00016

CERTIFICATE OF MAILING

I hereby certify that this
correspondence is being deposited with the
United States Postal Service with sufficient
postage as first class mail in an envelope
addressed to: Commissioner for Patents,
P.O. Box 1450, Alexandria, VA 22313-
1450 on this 15th day of December 2003.

Jo Ellen Bullock 12-15-03
Jo Ellen Bullock Date

TRANSMISSION OF FEES
Under 37 CFR 1.28(c)

RECEIVED

DEC 22 2003

OFFICE OF PETITIONS

Transmitted herewith are the below noted fees in accordance with 37 CFR 1.28(c)
for correcting errors in small entity status, and in payment of large entity fees. Please
consider this as notice of correction from small entity status to large entity status.

In accordance with 37 CFR 1.28(c)(2)(i), the deficiency owed for each previous
fee erroneously paid as a small entity is calculated as the difference between the current
fee amount for a large entity on the present date on which the deficiency is paid in full
and the amount of the previous erroneous small entity fee payment. The total deficiency
payment owed is the sum of the individual deficiency owed amounts for each fee amount
previously erroneously paid as a small entity.

In accordance with 37 CFR 1.28(c)(2)(ii), itemization of the total deficiency
payment is set forth below, including each particular type of fee that was erroneously paid
as a small entity, the small entity fee actually paid, and when, the deficiency owed
amount for each fee erroneously paid, and the total deficiency payment owed, which is
the sum total of the individual deficiency owed amounts.

Application No. 10/614,962
Transmission of Fees dated December 15, 2003


<u>Item</u>	<u>Previous Fee Erroneously Paid As Small Entity, and Date</u>	<u>Current Fee For Large Entity</u>	<u>Difference (Deficiency Amount Owed)</u>
Basic Filing Fee	\$375 (7/08/03)	\$ 770	\$ 395
Extra Claim Fees	258 (7/08/03)	518	260
		Sum Total	\$ 655

A check in the amount of \$655 is enclosed herewith in payment of the noted sum total.

No further fees should be due in connection herewith. If further fees are due in connection herewith, the Commissioner is hereby authorized to charge same to applicant's attorney's deposit account number 01.2000. A duplicate copy hereof is enclosed.

Respectfully submitted,

ANDRUS, SCEALES, STARKE & SAWALL, LLP



Michael E. Taken
(Reg. No. 28,120)

Andrus, Sceales, Starke & Sawall, LLP
100 East Wisconsin Avenue, Suite 1100
Milwaukee, WI 53202
(414) 271-7590

Adjustment date: 12/22/2003 MAHED1
07710/2003 BSAYAS11 00000033 10614962
01 FC:2001 -375.00 OP
02 FC:2201 -42.00 OP
03 FC:2202 -216.00 OP

12/22/2003 MAHED1 00000001 10614962
01 FC:1001 770.00 OP
02 FC:1201 86.00 OP
03 FC:1202 432.00 OP



DAC \$
128
21

Please type a plus sign (+) inside this box (+)

Patent and Trademark Office: U.S. Department of Commerce

0003 PTO RST: 10/95	U.S. Department of Commerce Patent and Trademark Office	Application Number 10/614,962
		Filing Date July 8, 2003
		First Named Inventor Vernon D. Karman
		Group Art Unit 3721
		Examiner Name
Total Number of pages in this Submission 7		Attorney Docket Number 4767-00016

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

ENCLOSURES (check all that apply)

- | | | |
|---|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input checked="" type="checkbox"/> Fee Attached | <input type="checkbox"/> Assignment Papers
(for an Application) | <input type="checkbox"/> After Allowance
Communication To Group |
| <input type="checkbox"/> Amendment/Response
<input type="checkbox"/> After final
<input type="checkbox"/> Affidavits/Declaration(s) | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to
Board Of Appeals and
Interferences |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to
Group (Appeal Notice, Brief,
Reply Brief) |
| <input type="checkbox"/> Express Abandonment
Request | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Information Disclosure
Statement/PTO-1449 | <input type="checkbox"/> Petition To Convert a
Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Certified Copy of Priority
Document(s) | <input type="checkbox"/> Power of Attorney,
Revocation, Change of
Correspondence Address | <input checked="" type="checkbox"/> Additional Enclosure(s)
(Please identify below) |
| <input type="checkbox"/> Response to Missing Parts/
<input type="checkbox"/> Incomplete Application | <input type="checkbox"/> Terminal Disclaimer | Transmission of Fees
Under 37 CFR 1.28(c) |
| <input type="checkbox"/> Response to Missing
Parts Under 37 1.52 or
1.53 | <input type="checkbox"/> Request for Refund | Return receipt postcard |
| <input type="checkbox"/> Request to Rescind Previous
Nonpublication Request | <input type="checkbox"/> CD, Number of CDs _____ | |

REMARKS:

RECEIVED
DEC 22 2003
OFFICE OF PETITIONS

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual Name	Michael E. Taken (Reg. No. 28,120) ANDRUS, SCEALES, STARKE & SAWALL, LLP 100 East Wisconsin Avenue, Suite 1100, Milwaukee, WI 53202
Signature	<i>Michael E. Taken</i>
Date	December 15, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Mail Stop: Fee, P.O. Box 1450, Alexandria, VA 22313-1450 on the 15th day of December, 2003.		
Typed or printed name	Jo Ellen Bullock	
Signature	<i>Jo Ellen Bullock</i>	Date 12/15/2003



Approved for use through 10/31/2002
Patent and Trademark Office: U.S. Department of Commerce

PTO/SB/17
(8/96)

FEE TRANSMITTAL

☐ Applicant claims small entity status. See 37 CFR 1.27

Total Amount of Payment (\$) **655.00**

COMPLETE IF KNOWN

Application Number	10/614,962
Filing Date	July 8, 2003
First Named Inventor	Vernon D. Karman
Group Art Unit	3721
Examiner Name	
Attorney Docket Number	4767-00016

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **01.2000**

Account Deposit Name **ANDRUS, SCALES, STARKE & SAWALL, LLP**

☒ Charge any additional fee required under 37 CFR 1.16 and 1.17

☐ The Commissioner is hereby authorized to charge the issue fee and any additional fees required under 37 C.F.R. 1.18 to the above Deposit Account. A duplicate copy hereof is enclosed.

FEE CALCULATION (continued)

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for examination	
1804 920	1804 920	Requesting publication of SIR prior to Examiner action	
1805 1,840	1805 1,840	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for response within first month	
1252 420	2252 210	Extension for response within second month	
1253 950	2253 475	Extension for response within third month	
1254 1,480	2254 740	Extension for response within fourth month	
1255 2010	2255 1005	Extension for response within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive unavoidably abandoned application	
1453 1,330	2453 665	Petition to revive unintentionally abandoned application	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(g)	
1806 180	1806 180	Submission of Information Disclos. Stmt.	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.29(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION (fees effective 10/01/96)

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. CLAIMS

Extra Fee from below Fee Paid

Total claims - = 0 X \$0 = \$0

Independent Claims - = 0 X \$0 = \$0

Multiple Dependent Claims - X =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2001 43	Independent claims in excess of 3
1203 290	2003 145	Multiple dependent claim
1204 86	2004 43	Reissue independent claims over original patent
1205 18	2205 9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) **0.00**

Other fee (specify) Transmission of Fees Under 37 CFR 1.28(c) **655**

SUBTOTAL (3) \$655.00

* Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type)	Michael E. Taken	Registration No.	28,120	Telephone	(414) 271-7590
Signature	<i>Michael E. Taken</i>	Date	12/15/03		